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NOTICE OF ALLOWANCE AND FEE(S) DUE

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7590

12/06/2010

NELSON MULLINS RILEY & SCARBOROUGH LLP FLOOR 30, SUITE 3000 ONE POST OFFICE SQUARE BOSTON, MA 02109 EXAMINER

KAM, CHIH MIN

ART UNIT PAPER NUMBER

1656

DATE MAILED: 12/06/2010

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/563,536 | 06/16/2006 | Christian Widmann | KZY-004US | 8023 |

TITLE OF INVENTION: RASGAP DERIVED PEPTIDE FOR SELECTIVELY KILLING CANCER CELLS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$755 | \$300 | \$0 | \$1055 | 03/07/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 959 7590 12/06/2010 Certificate of Mailing or Transmission NELSON MULLINS RILEY & SCARBOROUGH LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. FLOOR 30, SUITE 3000 ONE POST OFFICE SQUARE **BOSTON, MA 02109** (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/563,536 06/16/2006 Christian Widmann KZY-004US 8023 TITLE OF INVENTION: RASGAP DERIVED PEPTIDE FOR SELECTIVELY KILLING CANCER CELLS APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional YES \$755 \$300 \$0 \$1055 03/07/2011 **EXAMINER** ART UNIT CLASS-SUBCLASS KAM, CHIH MIN 1656 514-002000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this fo Advance Order - # of Copies _ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| 10/563,536 | 06/16/2006 | Christian Widmann | KZY-004US | 8023 |
| 959 75 | 590 12/06/2010 | | EXAMINER | |
| NELSON MULL | INS RILEY & SCA | KAM, CHIH MIN | | |
| FLOOR 30, SUITE | | | ART UNIT | PAPER NUMBER |
| ONE POST OFFICE SQUARE BOSTON, MA 02109 | | | 1656 | |
| | | | DATE MAIL ED. 10/06/2010 | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 203 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 203 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

| | Application No. | Applicant(s) | | | |
|--|---|--|---------------------------------|--|--|
| | 10/563,536 | WIDMANN ET AL. | | | |
| Notice of Allowability | Examiner | Art Unit | | | |
| | CHIH-MIN KAM | 1656 | | | |
| | CHIH-IVIIN KAIVI | 1656 | | | |
| The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED or other appropriate com IGHTS. This application is | in this application. If not included munication will be mailed in due course | e. THIS ne initiative | | |
| 1. This communication is responsive to <u>9/20/10</u> . | | | | | |
| 2. \boxtimes The allowed claim(s) is/are $\underline{1-9,11,12,14,15,17-19,23,27-3}$ | <u>1,33-43 and 48-65</u> . | | | | |
| 3. ☐ Acknowledgment is made of a claim for foreign priority ur a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have | been received. been received in Applica | tion No | | | |
| 3. Copies of the certified copies of the priority documents have been received in this national stage application from the | | | | | |
| · · · · · · · · · · · · · · · · · · · | International Bureau (PCT Rule 17.2(a)). | | | | |
| * Certified copies not received: | | | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | ile a reply complying with the requirem | ients | | |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give | | | E OF | | |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus | st be submitted. | | | | |
| (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached | | | | | |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date | | | | | |
| (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date | | | | | |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t | | | of | | |
| 6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT | SIT OF BIOLOGICAL MA FOR THE DEPOSIT OF E | TERIAL must be submitted. Note the BIOLOGICAL MATERIAL. | ne | | |
| | | | | | |
| Attachment(s) | E □ Nation of | Informal Detack Application | | | |
| Notice of References Cited (PTO-892) Notice of Draftperson's Patent Drawing Review (PTO-948) | | Informal Patent Application Summary (PTO-413), | | | |
| | Paper N | o./Mail Date | | | |
| 3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date <u>See Continuation Sheet</u> | 7. 🛛 Examine | 's Amendment/Comment | | | |
| 4. ☐ Examiner's Comment Regarding Requirement for Deposit | 8. 🛛 Examinei | 's Statement of Reasons for Allowance | Э | | |
| of Biological Material | 9. | | | | |
| /Chih-Min Kam/ | <u> </u> | ` | | | |
| Primary Examiner, Art Unit 1656 | | | | | |
| | | | | | |

Continuation of Attachment(s) 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date: 6/11/09 (page 2, corrected copy) .

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DETAILED ACTION

Status of the Claims

1. Claims 1-9, 11, 12, 14, 15, 17-19, 23 and 27-65 are pending.

Applicants' amendment filed September 20, 2010 is acknowledged. Applicants' response has been fully considered. Claims 1-5, 8, 14, 15, 17-19, 29, 31, 33, 34 and 37-41 have been amended, claim 25 has been cancelled, and new claims 44-65 have been added. Therefore, claims 1-9, 11, 12, 14, 15, 17-19, 23 and 27-65 are examined.

Withdrawn Claim Objections

2. The previous objection to claims 14, 15, 17-19, 29 and 30 is withdrawn in view of applicants' amendment to the claims in the amendment filed September 20, 2010.

Withdrawn Claim Rejections - 35 USC § 112

- 3. The previous rejection of claims 23, 25, 37 and 40-43 under 35 U.S.C. 112, first paragraph, scope of enablement, is withdrawn in view of applicants' amendment to the claim, applicants' cancellation of the claims, and applicants' response at page 12 in the amendment filed September 20, 2010.
- 4. The previous rejection of claim 37 under 35 U.S.C. 112, first paragraph, written description, is withdrawn in view of applicants' amendment to the claim, and applicants' response at page 12 in the amendment filed September 20, 2010.
- 5. The previous rejection of claims 3-9 under 35 U.S.C. 112, second paragraph, is withdrawn in view of applicants' amendment to the claim, and applicants' response at pages 12-13 in the amendment filed September 20, 2010, as well as Examiner's amendment (See below).

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Withdrawn Claim Rejections - 35 USC § 102

6. The previous rejection of claims 1-3, 11, 12, 27, 28 and 33-39 under 35 U.S.C. 102(b) as being anticipated by Yang *et al.* (Mol. And Cell. Biology 21, 5346-5358 (2001)) as evidenced by Widmann *et al.* (US 20060234929) is withdrawn in view of applicants' amendment to the claims, and applicant's response at pages 13-14 in the amendment filed September 20, 2010.

Examiner's Amendment

An **Examiner's Amendment** to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Debra J. Milasincic on December 1, 2010.

Examiner's Amendment to the Claims:

Cancel claims 32 and 44-47.

Claims 2, 4, 31, 34, 37, 38, 41 and 43 have been amended as follows:

- 2. (Currently amended) The pharmaceutical composition of claim 1, wherein said at least one peptide fragment comprises at least one amino acid sequence encoded by the DNA a nucleotide sequences selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, and SEQ ID NO:4.
- 4. (Currently amended) The pharmaceutical composition of claim 1, wherein said at least one peptide fragment further comprises at least one amino acid in D-form.
 - 31. (Currently amended) A kit for treating cancer in a subject comprising
- i) at least one peptide fragment of the N2 sequence of the RasGAP protein which comprises the amino acid sequence WXWVTXXRTX (SEQ ID NO: 14), wherein X represents an amino acid; and

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ii) a genotoxin,

wherein said at least one peptide fragment enhances the ability of said genotoxin to kill selectively cancer cells, and instructions for use of said at least one peptide fragment and the genotoxin.

- 34. (Currently amended) The method of claim 33, wherein said at least one peptide fragment comprises the SH3 domain of the N2 sequence, or a part thereof, or comprises at least one amino acid sequence encoded by a DNA nucleotide sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, and SEQ ID NO:4.
- 37. (Currently amended) A method for enhancing the sensitivity of a cancer cell to a genotoxin comprising contacting the cancer cell with a genotoxin and a therapeutically effective amount of at least one peptide fragment of the N2 sequence of the RasGAP protein which comprises the amino acid sequence WXWVTXXRTX (SEQ ID NO: 14), wherein said at least one peptide fragment is less than 90% of the length of said N2 sequence, and wherein X represents an amino acid, thereby enhancing the sensitivity of a cancer cell to the genotoxin.
- 38. (Currently amended) The method of claim 37, wherein said at least one peptide fragment comprises the SH3 domain of the N2 sequence, or a part thereof, or comprises at least one amino acid sequence encoded by a DNA <u>nucleotide</u> sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, and SEQ ID NO:4.
- 41. (Currently amended) The method of claim 40, wherein said at least one peptide fragment comprises the SH3 domain of the N2 sequence, or a part thereof, or comprises at least one amino acid sequence encoded by a DNA <u>nucleotide</u> sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, and SEQ ID NO:4.
- 43. (Currently amended) The pharmaceutical composition method of claim 40, wherein the genotoxin is selected from the group consisting of cisplatin, mitoxantrone and adriamycin.

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The following is an Examiner's Statement of Reasons for Allowance: The following references are the closest art to the claimed invention. Yang et al. (Mol. And Cell. Biology 21, 5346-5358 (2001)) teach characterization of RasGAP and its N-fragment (residues 1-455), where N-fragment contain N1 fragment (residues 1-157) and N2 fragment (residues 158-455), the N2 fragment contains 2 SH2 and one SH3 domain (Fig.1; page 5348, right column), and SH3 contains WXWVTXXRTX or instant SEQ ID NO:8 (WMWVTNLRTD). Yang et al. also teach N1 and N2 fragments of RasGAP sensitizes HeLa cells (a tumor cell) toward DNA induced apoptosis, where HeLa cells were transfected with plasmid encoding HA-GAP caspase cleavage fragments (i.e., N1 and N2 fragments), and the cells were treated in the presence and absence of cisplatin at various concentrations, it was found that the N fragment, N1 and N2 fragments enhances apoptosis of HeLa cells in the presence of cisplatin. Duchesne et al. (WO 94/03597 or U.S. Patent 6,180,362) disclose a peptide consisting of the sequence of WMWVTNLRTD (P5; corresponding to instant SEQ ID NO:8), and peptide fragments of N2 sequence of comprising the sequence of WMWVTNLRTD (P6 or P8), which are capable of inhibiting the transformation activity of the Ras protein; an the use of peptides I pharmaceutical compositions for the treatment of cancer. However, either Yang et al. or Duchesne et al. do not teach a pharmaceutical composition comprising at least one peptide fragment of the N2 sequence of the RasGAP protein which comprises the amino acid sequence of WXWVTXXRTX and a genotoxin, where the at least one peptide fragment enhances the ability of the genotoxin to kill selectively cancer cells. Therefore, the claims are allowable over the art of record.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Manjunath Rao can be reached at 571-272-0939. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Chih-Min Kam/

Primary Examiner, Art Unit 1656

CMK

December 1, 2010